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Directional limits on persistent gravitational waves from Advanced LIGO's first observing run

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We employ gravitational-wave radiometry to map the gravitational waves stochastic background expected from a variety of contributing mechanisms and test the assumption of isotropy using data from Advanced LIGO's first observing run. We also search for persistent gravitational waves from point sources with only minimal assumptions over the 20 - 1726 Hz frequency band. Finding no evidence of gravitational waves from either point sources or a stochastic background, we set limits at 90% confidence. For broadband point sources, we report upper limits on the gravitational wave energy flux per unit frequency in the range $F_{\alpha,\Theta}(f) < (0.1 - 56) \times 10^{-8} \text{ erg cm}^{-2} \text{ s}^{-1} \text{ Hz}^{-1} (f/25 \text{ Hz})^{\alpha-1}$ depending on the sky location Θ and the spectral power index α . For extended sources, we report upper limits on the fractional gravitational wave energy density required to close the Universe of $\Omega(f, \Theta) < (0.39 - 7.6) \times 10^{-8} \text{ sr}^{-1} (f/25 \text{ Hz})^{\alpha}$ depending on Θ and α . Directed searches for narrowband gravitational waves from astrophysically interesting objects (Scorpius X-1, Supernova 1987 A, and the Galactic Center) yield median frequency-dependent limits on strain amplitude of $h_0 < (6.7, 5.5, \text{ and } 7.0) \times 10^{-25}$ respectively, at the most sensitive detector frequencies between 130 - 175 Hz. This represents a mean improvement of a factor of 2 across the band compared to previous searches of this kind for these sky locations, considering the different quantities of strain constrained in each case.

Introduction.—A stochastic gravitational-wave background (SGWB) is expected from a variety of mechanisms [1–5]. Given the recent observations of binary black hole mergers GW150914 and GW151226 [6, 7], we expect the SGWB to be nearly isotropic [8] and dominated [9] by compact binary coalescences [10–12]. The LIGO and Virgo Collaborations have pursued the search for an isotropic stochastic background from LIGO's first observational run [13]. Here, we adopt an eyes-wide-open philosophy and relax the assumption of isotropy in order to allow for the greater range of possible signals. We search for an anisotropic background, which could indicate a richer, more interesting cosmology than current models. We present the results of a generalized search for a stochastic signal with an arbitrary angular distribution mapped over all directions in the sky.

Our search has three components. First, we utilize a broadband radiometer analysis [14, 15], optimized for detecting a small number of resolvable point sources. This method is not applicable to extended sources. Second, we employ a spherical harmonic decomposition [16, 17], which can be employed for point sources but is better suited to extended sources. Last, we carry out a narrowband radiometer search directed at the sky position of three astrophysically interesting objects: Scorpius X-1 (Sco X-1) [18, 19], Supernova 1987 A (SN 1987A) [20, 21], and the Galactic Center (GC) [22].

These three search methods are capable of detecting a wide range of possible signals with only minimal assumptions about the signal morphology. We find no evidence

of persistent gravitational waves, and set limits on broadband emission of gravitational waves as a function of sky position. We also set narrowband limits as a function of frequency for the three selected sky positions.

Data.—We analyze data from Advanced LIGO's 4 km detectors in Hanford, WA (H1) and Livingston, LA (L1) during the first observing run (O1), from 15:00 UTC, Sep 18, 2015 - 16:00 UTC, Jan 12, 2016. During O1, the detectors reached an instantaneous strain sensitivity of $7 \times 10^{-24} \text{ Hz}^{-1/2}$ in the most sensitive region between 100 - 300 Hz, and collected 49.67 days of coincident H1L1 data. The O1 observing run saw the first direct detection of gravitational waves and the first direct observation of merging black holes [6, 7].

For our analysis, the time-series data are downsampled to 4096 Hz from 16 kHz, and divided into 192 s, 50% overlapping, Hann-windowed segments, which are high-pass filtered with a 16th order Butterworth digital filter with knee frequency of 11 Hz (following [13, 23]). We apply data quality cuts in the time domain in order to remove segments associated with instrumental artifacts and hardware injections used for signal validation [24, 25]. We also exclude segments containing known gravitational-wave signals. Finally, we apply a standard non-stationarity cut (see, e.g., [26]), to eliminate segments that do not behave as Gaussian noise. These cuts remove 35% of the data. With all vetoes applied, the total live time for 192 s segments is 29.85 days.

The data segments are Fourier transformed and coarse-grained to produce power spectra with a resolution

of 1/32 Hz. This is a finer frequency resolution than the 1/4 Hz used in previous LIGO/Virgo stochastic searches [15, 17] in order to remove many finely spaced instrumental lines occurring at low frequencies. Frequency bins associated with known instrumental artifacts including suspension violin modes [27], calibration lines, electronic lines, correlated combs, and signal injections of persistent, monochromatic, gravitational waves are not included in the analysis. These frequency domain cuts remove 21% of the observing band. For a detailed description of data quality studies performed for this analysis, see the supplement [28] of [13].

The broadband searches include frequencies from 20 – 500 Hz which more than cover the regions of 99% sensitivity for each of the spectral bands (see Table 1 of [13]). The narrowband analysis covers the full 20 – 1726 Hz band.

Method.— The main goal of a stochastic search is to estimate the fractional contribution of the energy density in gravitational waves Ω_{gw} to the total energy density needed to close the Universe ρ_c . This is defined by

$$\Omega_{\text{gw}}(f) = \frac{f}{\rho_c} \frac{d\rho_{\text{gw}}}{df} \quad (1)$$

where f is frequency and $d\rho_{\text{gw}}$ represents the energy density between f and $f + df$ [29]. For a stationary and unpolarized signal, ρ_{gw} can be factored into an angular power $\mathcal{P}(\Theta)$ and a spectral shape $H(f)$ [30], such that

$$\Omega_{\text{gw}}(f) = \frac{2\pi^2}{3H_0^2} f^3 H(f) \int d\Theta \mathcal{P}(\Theta), \quad (2)$$

with Hubble constant $H_0 = 68 \text{ km s}^{-1} \text{ Mpc}^{-1}$ from [31].

The angular power $\mathcal{P}(\Theta)$ represents the gravitational wave power at each point in the sky. To express this in terms of the fractional energy density, we define the energy density spectrum as a function of sky position

$$\Omega(f, \Theta) = \frac{2\pi^2}{3H_0^2} f^3 H(f) \mathcal{P}(\Theta). \quad (3)$$

We define a similar quantity for the energy flux, where

$$\mathcal{F}(f, \Theta) = \frac{c^3 \pi}{4G} f^2 H(f) \mathcal{P}(\Theta) \quad (4)$$

has units of $\text{erg cm}^{-2} \text{ s}^{-1} \text{ Hz}^{-1} \text{ sr}^{-1}$ [15, 16], c is the speed of light and G is Newton's gravitational constant.

Point sources versus extended sources.—We employ two different methods to estimate $\mathcal{P}(\Theta)$ based on the cross-correlation of data streams from a pair of detectors [17, 29]. The radiometer method [14, 15] assumes that the cross-correlation signal is dominated by a small number of resolvable point sources. The point source power is given by \mathcal{P}_{Θ_0} and the angular power spectrum is then

$$\mathcal{P}(\Theta) \equiv \mathcal{P}_{\Theta_0} \delta^2(\Theta, \Theta_0). \quad (5)$$

Although the radiometer method provides the optimal method for detecting resolvable point sources, it is not well-suited for describing diffuse or extended sources, which may have an arbitrary angular distribution. Hence, we also implement a complementary spherical harmonic decomposition (SHD) algorithm, in which the sky map is decomposed into components $Y_{lm}(\Theta)$ with coefficients \mathcal{P}_{lm} [16]:

$$\mathcal{P}(\Theta) \equiv \sum_{lm} \mathcal{P}_{lm} Y_{lm}(\Theta). \quad (6)$$

Here, the sum over l runs from 0 to l_{max} and $-l \leq m \leq l$. We discuss the choice of l_{max} below. While the SHD algorithm has comparably worse sensitivity to point sources than the radiometer algorithm, it accounts for the detector response, producing more accurate sky maps.

Spectral models.—In both the radiometer algorithm and the spherical harmonic decomposition algorithm, we must choose a spectral shape $H(f)$. We model the spectral dependence of $\Omega_{\text{gw}}(f)$ as a power law:

$$H(f) = \left(\frac{f}{f_{\text{ref}}} \right)^{\alpha-3}, \quad (7)$$

where f_{ref} is an arbitrary reference frequency and α is the spectral index (see also [13]). The spectral model will also affect the angular power spectrum, so $\mathcal{P}(\Theta)$ is implicitly a function of α .

We can rewrite the energy density map $\Omega(f, \Theta)$ to emphasize the spectral properties, such that

$$\Omega(f, \Theta) = \Omega_{\alpha}(\Theta) \left(\frac{f}{f_{\text{ref}}} \right)^{\alpha}, \quad (8)$$

where

$$\Omega_{\alpha}(\Theta) = \frac{2\pi^2}{3H_0^2} f_{\text{ref}}^3 \mathcal{P}(\Theta) \quad (9)$$

has units of fractional energy density per steradian $\Omega_{\text{gw}} \text{ sr}^{-1}$. The spherical harmonic analysis presents skymaps of $\Omega_{\alpha}(\Theta)$. Note that when $\mathcal{P}(\Theta) = \mathcal{P}_{00}$ (the monopole moment), we recover a measurement for the energy density of the isotropic gravitational wave background. Similarly, the gravitational wave energy flux can be expressed as

$$\mathcal{F}(f, \Theta) = \mathcal{F}_{\alpha}(\Theta) \left(\frac{f}{f_{\text{ref}}} \right)^{\alpha-1}, \quad (10)$$

where

$$\mathcal{F}_{\alpha}(\Theta) = \frac{c^3 \pi}{4G} f_{\text{ref}}^2 \mathcal{P}(\Theta). \quad (11)$$

In the radiometer case we calculate the flux in each direction

$$F_{\alpha, \Theta_0} = \frac{c^3 \pi}{4G} f_{\text{ref}}^2 \mathcal{P}_{\Theta_0}, \quad (12)$$

which is obtained by integrating Equation 11 over the sphere for the point-source signal model described in Equation 5. This quantity has units of $\text{erg cm}^{-2} \text{s}^{-1} \text{Hz}^{-1}$. Following [13, 32], we choose $f_{\text{ref}} = 25 \text{ Hz}$, corresponding to the most sensitive frequency in the spectral band for a stochastic search with the Advanced LIGO network at design sensitivity.

We consider three spectral indices: $\alpha = 0$, corresponding to a flat energy density spectrum (expected from models of a cosmological background), $\alpha = 2/3$, corresponding to the expected shape from a population of compact binary coalescences, and $\alpha = 3$, corresponding to a flat strain power spectral density spectrum [17, 32]. The different spectral models are summarized in Table I.

Cross Correlation.—A stochastic background would induce low-level correlation between the two LIGO detectors. Although the signal is expected to be buried in the detector noise, the cross-correlation signal-to-noise ratio (SNR) grows with the square root of integration time [29]. The cross correlation between two detectors, with (one-sided strain) power spectral density $P_i(f, t)$ for detector i , is encoded in what is known as “the dirty map” [16]:

$$X_\nu = \sum_{ft} \gamma_\nu^*(f, t) \frac{H(f)}{P_1(f, t)P_2(f, t)} C(f, t). \quad (13)$$

Here, ν is an index, which can refer to either individual points on the sky (the pixel basis) or different lm indices (the spherical harmonic basis). The variable $C(f, t)$ is the cross-power spectral density measured between the two LIGO detectors at some segment time t . The sum runs over all segment times and all frequency bins. The variable $\gamma_\nu(f, t)$ is a generalization of the overlap reduction function, which is a function of the separation and relative orientation between the detectors, and characterizes the frequency response of the detector pair [33]; see [16] for an exact definition.

We can think of X_ν as a sky map representation of the raw cross-correlation measurement before deconvolving the detector response. The associated uncertainty is encoded in the Fisher matrix:

$$\Gamma_{\mu\nu} = \sum_{ft} \gamma_\mu^*(f, t) \frac{H^2(f)}{P_1(f, t)P_2(f, t)} \gamma_\nu(f, t), \quad (14)$$

where $*$ denotes complex conjugation.

Once X_ν and $\Gamma_{\mu\nu}$ are calculated, we have the ingredients to calculate both the radiometer map and the SHD map. However, the inversion of $\Gamma_{\mu\nu}$ is required to calculate the maximum likelihood estimators of GW power $\hat{\mathcal{P}}_\mu = \Gamma_{\mu\nu}^{-1} X_\nu$ [16]. For the radiometer, the correlations between neighbouring pixels can be ignored. The radiometer map is given by

$$\begin{aligned} \hat{\mathcal{P}}_\Theta &= (\Gamma_{\Theta\Theta})^{-1} X_\Theta \\ \sigma_\Theta^{\text{rad}} &= (\Gamma_{\Theta\Theta})^{-1/2}, \end{aligned} \quad (15)$$

where the standard deviation $\sigma_\Theta^{\text{rad}}$ is the uncertainty associated with the point source amplitude estimator $\hat{\mathcal{P}}_\Theta$, and $\Gamma_{\Theta\Theta}$ is a diagonal entry of the Fisher matrix for a pointlike signal. For the SHD analysis, the full Fisher matrix $\Gamma_{\mu\nu}$ must be taken into account, which includes singular eigenvalues associated with modes to which the detector pair is insensitive. The inversion of $\Gamma_{\mu\nu}$ is simplified by a singular value decomposition regularization. In this decomposition, modes associated with the smallest eigenvalues contribute the least sensitivity to the detector network. Removing a fraction of the lowest eigenmodes “regularizes” $\Gamma_{\mu\nu}$ without significantly affecting the sensitivity (see [16]). The estimator for the SHD and corresponding standard deviation are given by

$$\begin{aligned} \hat{\mathcal{P}}_{lm} &= \sum_{l'm'} (\Gamma_R^{-1})_{lm, l'm'} X_{l'm'} \\ \sigma_{lm}^{\text{SHD}} &= [(\Gamma_R^{-1})_{lm, lm}]^{1/2}, \end{aligned} \quad (16)$$

where Γ_R is the *regularized* Fisher matrix. We remove 1/3 of the lowest eigenvalues following [16, 17].

Angular scale.—In order to carry out the calculation in Eq. 16, we must determine a suitable angular scale, which will depend on the angular resolution of the detector network and vary with spectral index α . The diffraction-limited spot size on the sky θ (in radians) is given by

$$\theta = \frac{c}{2df} \approx \frac{50 \text{ Hz}}{f_\alpha}, \quad (17)$$

where $d = 3000 \text{ km}$ is the separation of the LIGO detectors. The frequency f_α corresponds to the most sensitive frequency in the detector band for a power law with spectral index α given the detector noise power spectra [15]. In order to determine f_α we find the frequency at which a power-law with index α is tangent to the single-detector “power-law integrated curve” [34]. The angular resolution scale is set by the maximum spherical harmonic order l_{max} , which we can express as a function of α since

$$l_{\text{max}} = \frac{\pi}{\theta} \approx \frac{\pi f_\alpha}{50 \text{ Hz}}. \quad (18)$$

The values of f_α , θ , and l_{max} for three different values of α are shown in Table I. As the spectral index increases, so does f_α , decreasing the angular resolution limit, thus increasing l_{max} .

Angular power spectra.—For the SHD map, we calculate the angular power spectra C_l , which describe the angular scale of structure in the clean map, using an unbiased estimator [16, 17]

$$\hat{C}_l \equiv \frac{1}{2l+1} \sum_m [|\hat{\mathcal{P}}_{lm}|^2 - (\Gamma_R^{-1})_{lm, lm}]. \quad (19)$$

Narrowband radiometer.—The radiometer algorithm can be applied to the detection of persistent gravitational waves from narrowband point sources associated with a

All-sky (broadband) Results									
Max SNR (% p -value)						Upper limit range			
α	Ω_{gw}	$H(f)$	f_α (Hz)	θ (deg)	l_{max}	BBR	SHD	BBR ($\times 10^{-8}$)	SHD ($\times 10^{-8}$)
0	constant	$\propto f^{-3}$	52.50	55	3	3.32 (7)	2.69 (18)	10 – 56	2.5 – 7.6
2/3	$\propto f^{2/3}$	$\propto f^{-7/3}$	65.75	44	4	3.31 (12)	3.06 (11)	5.1 – 33	2.0 – 5.9
3	$\propto f^3$	constant	256.50	11	16	3.43 (47)	3.86 (11)	0.1 – 0.9	0.4 – 2.8

TABLE I. Values of the power-law index α investigated in this analysis, the shape of the energy density and strain power spectrum. The characteristic frequency f_α , angular resolution θ (Eq. 17), and corresponding harmonic order l_{max} (Eq. 18) for each α are also shown. The right hand section of the table shows the maximum SNR, associated significance (p -value) and best upper limit values from the broadband radiometer (BBR) and the spherical harmonic decomposition (SHD). The BBR sets upper limits on energy flux [$\text{erg cm}^{-2} \text{ s}^{-1} \text{ Hz}^{-1} (f/25 \text{ Hz})^{\alpha-1}$] while the SHD sets upper limits on the normalized energy density [$\text{sr}^{-1} (f/25 \text{ Hz})^\alpha$] of the SGWB.

given sky position [15, 17]. We “point” the radiometer in the direction of three interesting sky locations: Sco X-1, the Galactic Center, and the remnant of supernova SN 1987A.

Scorpius X-1 (Sco X-1) is a low-mass X-ray binary believed to host a neutron star that is potentially spun up through accretion, in which gravitational wave emission may provide a balancing spin-down torque [18, 19, 35, 36]. The frequency of the gravitational wave signal is expected to spread due to the orbital motion of the neutron star. At frequencies below $\sim 930 \text{ Hz}$ this Doppler line broadening effect is less than $1/4 \text{ Hz}$, the frequency bin width selected in past analyses [15, 17]. At higher frequencies, the signal is certain to span multiple bins. We therefore combine multiple $1/32 \text{ Hz}$ frequency bins to form optimally sized *combined bins* at each frequency, accounting for the expected signal broadening due to the combination of the motion of the Earth around the Sun, the binary orbital motion, and any other intrinsic modulation. For more detail on the method of combining bins, see the technical supplement to this paper [37].

The possibility of a young neutron star in SN 1987A [20, 21] and the likelihood of many unknown, isolated neutron stars in the Galactic Center region [22] indicate potentially interesting candidates for persistent gravitational wave emission. We combine bins to include the signal spread due to Earth’s modulation. For SN 1987A, we choose a combined bin size of 0.09 Hz . We would be sensitive to spin modulations up to $|\dot{\nu}| < 9 \times 10^{-9} \text{ Hz s}^{-1}$ within our O1 observation time spanning 116 days. The Galactic Center is at a lower declination with respect to the orbital plane of the Earth. The Earth modulation term is therefore more significant so for the Galactic Center we choose combined bins of 0.53 Hz across the band. In this case we are sensitive to a frequency modulation in the range $|\dot{\nu}| < 5.3 \times 10^{-8} \text{ Hz s}^{-1}$.

Significance.—To assess the significance of the SNR in the combined bins of the narrowband radiometer spectra, we simulate many realizations of the strain power consistent with Gaussian noise in each individual frequency bin. Combining these in the same way as the actual analysis leaves us with a distribution of maximum SNR values

across the whole frequency band for many simulations of noise.

For a map of the whole sky, the distribution of maximum SNR is complicated by the many dependent trials due to covariances between different pixels (or patches) on the sky. We calculate this distribution numerically by simulating many realizations of the dirty map X_ν with expected covariances described by the Fisher matrix $\Gamma_{\mu\nu}$ (cf. Eqs. 13 and 14, respectively). This distribution is then used to calculate the significance (or p -value) of a given SNR recovered from the sky maps [17]. We take a p -value of 0.01 or less to indicate a significant result. The absence of any significant events indicates the data are consistent with no signal being detected, in which case we quote Bayesian upper limits at 90% confidence [15, 17]

Results—The search yields four data products:

Radiometer sky maps, optimized for broadband point sources, are shown in Fig. 1. The top row shows the SNR. Each column corresponds to a different spectral index, $\alpha = 0, 2/3$ and 3 , from left to right, respectively. The maximum SNRs are respectively 3.32, 3.31, and 3.43 corresponding to false-alarm probabilities typical of what would be expected from Gaussian noise; see Table I. We find no evidence of a signal, and so set limits on gravitational-wave energy flux, which are provided in the bottom row of Fig. 1 and summarized in Table I.

SHD sky maps, suitable for characterizing an anisotropic stochastic background, are shown in Fig. 2. The top row shows the SNR and each column corresponds to a different spectral index ($\alpha = 0, 2/3$ and 3 , respectively). The maximum SNRs are 2.96, 3.06, and 3.86 corresponding to false-alarm probabilities typical of those expected from Gaussian noise; see Table I. Failing evidence of a signal, we set limits on energy density per unit solid angle, which are provided in the bottom row of Fig. 2 and summarised in Table I. Interactive visualizations of the SNR and upper limit maps are also available online [38].

Angular power spectra are derived from the SHD sky maps. We present upper limits at 90% confidence on the angular power spectrum indices C_l from the spherical

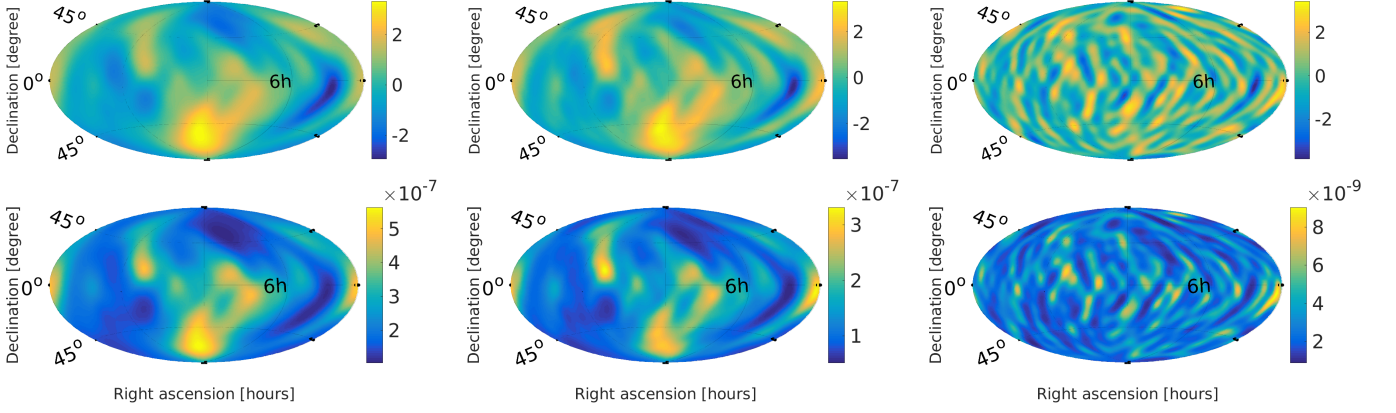


FIG. 1. All-sky radiometer maps for point-like sources showing SNR (top) and upper limits at 90% confidence on energy flux F_{α, Θ_0} [erg cm $^{-2}$ s $^{-1}$ Hz $^{-1}$] (bottom) for three different power-law indices, $\alpha = 0, 2/3$ and 3 , from left to right, respectively. The p-values associated with the maximum SNR are (from left to right) $p = 7\%$, $p = 12\%$, $p = 47\%$ (see Table I).

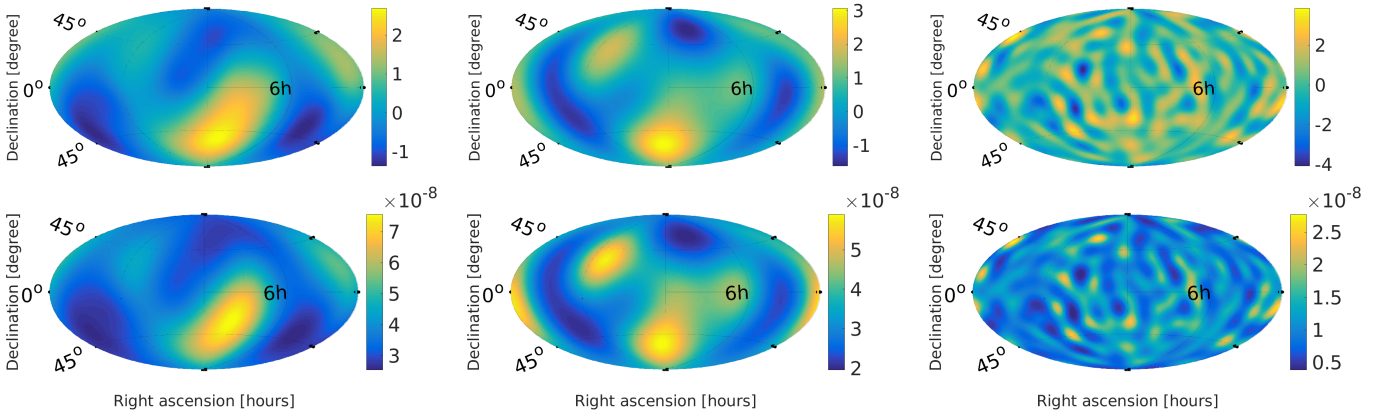


FIG. 2. All-sky spherical harmonic decomposition maps for extended sources showing SNR (top) and upper limits at 90% confidence on the energy density of the gravitational wave background Ω_{α} [sr $^{-1}$] (bottom) for three different power-law indices $\alpha = 0, 2/3$ and 3 , from left to right, respectively. The p-values associated with the maximum SNR are (from left to right) $p = 18\%$, $p = 11\%$, $p = 11\%$ (see Table I).

harmonic analysis in Figure 3.

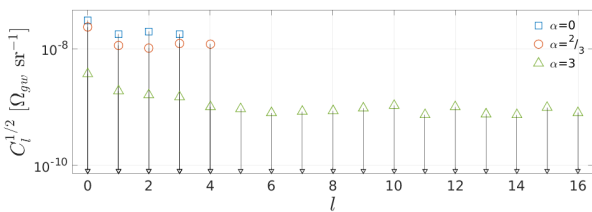


FIG. 3. Upper limits on C_l at 90% confidence vs l for the SHD analyses for $\alpha = 0$ (top, blue squares), $\alpha = 2/3$ (middle, red circles) and $\alpha = 3$ (bottom, green triangles).

Radiometer spectra, suitable for the detection of a narrowband point source associated with a given sky position, are given in Fig. 4, the main results of which are summarised in Table II. For the three sky locations (Sco X-1, SN 1987A and the Galactic Center), we calculate the SNR in appropriately sized combined bins across the

LIGO band. For Sco X-1, the loudest observed SNR is 4.58, which is consistent with Gaussian noise. For SN 1987A and the Galactic Center, we observe maximum SNRs of 4.07 and 3.92 respectively, corresponding to false alarm probabilities consistent with noise; see Table II.

Since we observe no statistically significant signal, we set 90% confidence limits on the peak strain amplitude h_0 for each optimally sized frequency bin. Upper limits were set using a Bayesian methodology with the constraint that $h_0 > 0$ and validated with software injection studies. The upper limit procedure is described in more detail in the technical supplement [37], while the subsequent software injection validation is detailed in [39].

The results of the narrowband radiometer search for the three sky locations are shown in Fig. 4. To avoid setting limits associated with downward noise fluctuations, we take the median upper limit from the most sensitive 1 Hz band as our best strain upper limit. We obtain 90% confidence upper limits on the gravitational wave strain of $h_0 < 6.7 \times 10^{-25}$ at 134 Hz, $h_0 < 7.0 \times$

Narrowband Radiometer Results

Direction	Max SNR	p-value (%)	Frequency band (Hz)	Best UL ($\times 10^{-25}$)	Frequency band (Hz)
Sco X-1	4.58	10	616 – 617	6.7	134 – 135
SN1987A	4.07	63	195 – 196	5.5	172 – 173
Galactic Center	3.92	87	1347 – 1348	7.0	172 – 173

TABLE II. Results for the narrowband radiometer showing the maximum SNR, corresponding p-value and 1 Hz frequency band as well as the 90% gravitational wave strain upper limits, and corresponding frequency band, for three sky locations of interest. The best upper limits are taken as the median of the most sensitive 1 Hz band.

10^{-25} at 172 Hz and $h_0 < 5.5 \times 10^{-25}$ at 172 Hz from Sco X-1, SN 1987A and the Galactic Center respectively in the most sensitive part of the LIGO band

Conclusions. We find no evidence to support the detection of either point-like or extended sources and set upper limits on the energy flux and energy density of the anisotropic gravitational wave sky. We assume three different power law models for the gravitational wave background spectrum. Our mean upper limits present an improvement over initial LIGO results of a factor of 8 in flux for the $\alpha = 3$ broadband radiometer and factors of 60 and 4 for the spherical harmonic decomposition method for $\alpha = 0$ and 3 respectively [17, 40]. We present the first upper limits for an anisotropic stochastic background dominated by compact binary inspirals (with an $\Omega_{\text{gw}} \propto f^{2/3}$ spectrum) of $\Omega_{2/3}(\Theta) < 2 - 6 \times 10^{-8} \text{ sr}^{-1}$ depending on sky position. We can directly compare the monopole moment of the spherical harmonic decomposition to the isotropic search point estimate $\Omega_{2/3} = (3.5 \pm 4.4) \times 10^{-8}$ from [13]. We obtain $\Omega_{2/3} = (2\pi^2/3H_0^2)f_{\text{ref}}^3\sqrt{4\pi}\mathcal{P}_{00} = (4.4 \pm 6.4) \times 10^{-8}$. The two results are statistically consistent. Our spherical harmonic estimate of $\Omega_{2/3}$ has a larger uncertainty than the dedicated isotropic search because of the larger number of (covariant) parameters estimated when $l_{\text{max}} > 0$. We also set upper limits on the gravitational wave strain from point sources located in the directions of Sco X-1, the Galactic Center and Supernova 1987A. The narrowband results improve on previous limits of the same kind by more than a factor of 10 in strain at frequencies below 50 Hz and above 300 Hz, with a mean improvement of a factor of 2 across the band [17].

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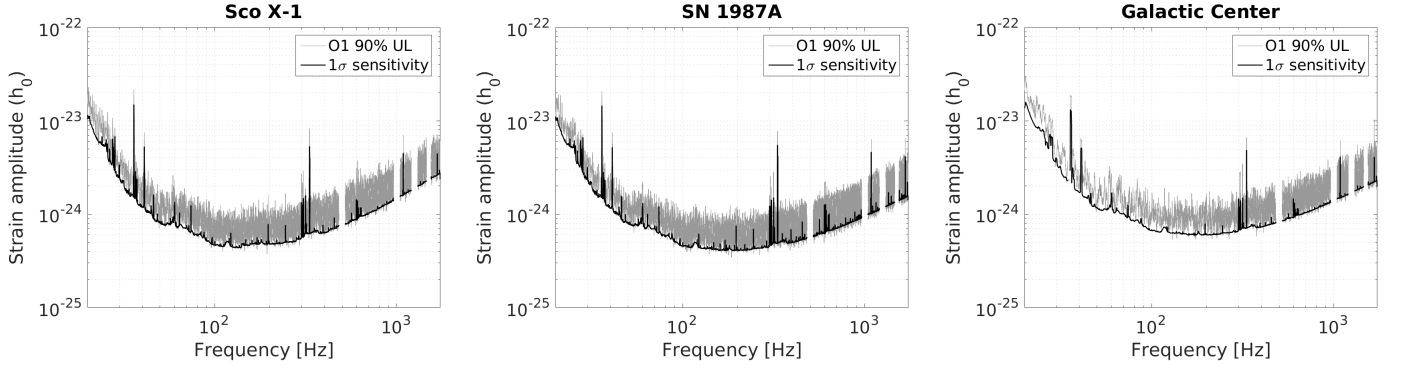


FIG. 4. Radiometer 90% upper limits on dimensionless strain amplitude (h_0) as a function of frequency for Sco X-1 (left), SN1987A (middle) and the Galactic Center (right) for the O1 observing run (gray band) and standard deviation σ (black line). The large spikes correspond to harmonics of the 60 Hz power mains, calibration lines and suspension-wire resonances.

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SUPPLEMENT-DIRECTIONAL LIMITS ON PERSISTENT GRAVITATIONAL WAVES FROM ADVANCED LIGO'S FIRST OBSERVING RUN

In this supplement we describe how we use the narrowband, directed radiometer search[14] to make a statement on the gravitational wave (GW) strain amplitude h_0 of a persistent source given some power described by our cross correlation statistic. We take into account the expected modulation of the quasi-monochromatic source frequency over the duration of the observation. We do this by combining individual search frequency bins into *combined bins* that cover the extent of the possible modulation.

Source Model.—We can relate GW frequency emitted in the source frame f_s to the observed frequency in the detector frame f_d using the relation

$$f_d = [1 - A(t) - B(t) - C(t)]f_s \quad (20)$$

where $A(t)$ takes into account the modulation of the signal due to Earth's motion with respect to the source, $B(t)$ takes into account the orbital modulation for a source in a binary orbit, and $C(t)$ takes into account any other modulation due to intrinsic properties of the source (for example any spin-down terms for isolated neutron stars).

The Earth modulation term is given by

$$A(t) = \frac{\vec{v}_E(t) \cdot \hat{k}}{c} \quad (21)$$

where \vec{v}_E is the velocity of the Earth. In equatorial coordinates:

$$\vec{v}_E(t) = \omega R [\sin \theta(t) \hat{u} - \cos \theta(t) \cos \phi \hat{v} - \cos \theta(t) \sin \phi \hat{w}], \quad (22)$$

in which R is the mean distance between Earth and the Sun, ω is the angular velocity of the Earth around the Sun and $\phi = 23^\circ, 26 \text{ min}, 21.406 \text{ sec}$ is the obliquity of the ecliptic. The time dependent phase angle $\theta(t)$ is given by $\theta(t) = 2\pi(t - T_{VE})/T_{\text{year}}$, where T_{year} is the number of seconds in a year and T_{VE} is the time at the Vernal equinox. The unit vector \hat{k} pointing from the source to the earth is given by $\hat{k} = -\cos \delta \cos \alpha \hat{u} - \cos \delta \sin \alpha \hat{v} - \sin \delta \hat{w}$, where δ is the declination and α is the right ascension of the source on the sky.

In the case of a source in a binary system, the binary term (for a circular orbit) is given by

$$B(t) = \frac{2\pi}{P_{\text{orb}}} a \sin i \times \cos \left(2\pi \frac{t - T_{\text{asc}}}{P_{\text{orb}}} \right) \quad (23)$$

where $a \sin i$ is the projection of the semi-major axis (in units of light seconds) of the binary orbit on the line of sight, T_{asc} is the time of the orbital ascending node and P_{orb} is the binary orbital period.

In the case of an isolated source we set $B(t) = 0$, while $C(t)$ can take into account any spin modulation expected

to occur during an observation time. In the absence of a model for this behaviour, a statement can be made on the maximum allowable spin modulation that can be tolerated by our search.

Search.—The narrowband radiometer search is run with 192 s segments and 1/32 Hz frequency bins. For each 1/32 Hz frequency bin we combine the number of bins required to account for the extent of any signal frequency modulation. The source frequency f_s is taken as the center of a frequency bin. We calculate the minimum and maximum detector frequency f_d over the time of the analysis corresponding to the respective *edges* of the bin in order to define our combined bins.

We combine the detection statistic Y_i and variance $\sigma_{Y,i}$ into a new combined statistic Y_c for each representative frequency bin via

$$Y_c = \sum_{i=-b}^a Y_i \text{ and } \sigma_{Y,c}^2 = \sum_{i=-b}^a \sigma_{Y,i}^2, \quad (24)$$

where i represents the index for each of the frequency bins we want to combine. If we assign $i = 0$ to the bin where the source frequency falls, then a and b are the number of frequency bins we want to combine above and below the source frequency bin, respectively. The overlapping bins, which ensure we do not lose signal due to edge effects, create correlations between our *combined* bins.

Significance.—To establish significance, we assume that the strain power in each frequency bin is consistent with Gaussian noise and simulate > 1000 noise realizations. For each realization, we generate values of Y_i in each frequency bin i by drawing from a Gaussian distribution with $\sigma = \sigma_{Y,i}$. We then combine these bins into combined bins as we do in the actual analysis and calculate the maximum of the signal to noise ratio, $\text{SNR} = Y_c/\sigma_{Y,c}$, across all of the combined bins. We use the distribution of maximum SNR to establish the significance of our results.

Upper limits.—In the absence of a significant detection statistic, we set upper limits on the tensor strain amplitude h_0 of a gravitational wave source with frequency f_s . To take into account the unknown parameters of the system, such as the polarization ψ and inclination angle ι , and consider reduced sensitivity to signals that are not circularly polarized, we calculate a direction-dependent and time-averaged value $\mu_{\iota,\psi}$. This value represents a scaling between the true value of the amplitude h_0 and what we would measure with our search, and is given by

$$\mu_{\iota,\psi} = \frac{\sum_{j=1}^M [(A^+/h_0)^2 F_{dj}^+ + (A^\times/h_0)^2 F_{dj}^\times] (F_{dj}^+ + F_{dj}^\times)}{\sum_{j=1}^M (F_{dj}^+ + F_{dj}^\times)^2} \quad (25)$$

for each time segment j . Here

$$A^+ = \frac{1}{2} h_0 (1 + \cos^2 \iota) \text{ and } A^\times = h_0 \cos \iota, \quad (26)$$

and ψ dependence is implicit in $F_{dj}^A = F_{1j}^A F_{2j}^A$, where A indicates (+ or \times) polarization and the response functions F_{1j}^A and F_{2j}^A for the LIGO detectors are defined in [29] (see also [41]). We calculate $\mu_{\iota,\psi}$ many times for a uniform distribution of $\cos \iota$ and ψ , and then marginalize over it. We also marginalize over calibration uncertainty, where we assume (as in the past) that calibration uncertainty is manifest in a multiplicative factor $(l+1) > 0$ where l is normally distributed around 0 with uncertainty given by the calibration uncertainty, $\sigma_l = 0.18$. The full expression for our posterior distribution given a measurement, Y and its uncertainty σ_Y in a single combined bin is given by

$$p(h_0|Y, \sigma_Y) = \int_{-1}^1 d(\cos \iota) \int_{-\pi/4}^{\pi/4} d\psi \int_{-1}^{\infty} dl e^{L(l)}, \quad (27)$$

where

$$L(l) = -\frac{1}{2} \left\{ \left(\frac{l}{\sigma_l} \right)^2 + \left[\frac{Y(l+1) - \mu_{\iota,\psi} h_0^2}{\sigma_Y(l+1)} \right]^2 \right\}. \quad (28)$$

We set upper limits on h_0 at a 90% credible level for frequencies within each combined bin that correspond to each source frequency f_s . Denoted h_0^{UL} , upper limits are calculated via $0.9 = \int_0^{h_0^{\text{UL}}} dh_0 p(h_0|Y, \sigma_Y)$.

Frequency Notches.—For frequency bins flagged to be removed from the analysis due to instrumental artifacts, we set our statistic to zero, so they will not contribute to the combined statistics described in Eq 24. We require that more than half of the frequency bins are still available when generating a combined bin. When setting upper limits, the noise $\sigma_{Y,c}$ in any combined bin that contained notched frequency bins is rescaled to account for the missing bins to provide a more accurate representation of the true sensitivity in that combined bin.